SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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| I | | 3235-0207 |

| Image: Constraint of the second se | 1. Name and Address of Reporting Person [*] Hawkins Mark J | 2. Issuer Name and Ticker or Trading Symbol <u>AUTODESK INC</u> [ADSK] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | |
|---|--|--|--|--|--|--|--|
| (Street) Line) SAN RAFAEL CA 94903 X Form filed by One Reporting Person Form filed by More than One Reporting | | | below) below) | | | | |
| (City) (State) (Zip) | SAN RAFAEL CA 94903 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | Line) X Form filed by One Reporting Person Form filed by More than One Reporting | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securities Disposed Of | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership |
|---------------------------------|--|---|------------------------------|---|------------------------------|---|---------------------------------|---|---|---|
| | | | | v | V Amount (A) or Price | | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 03/25/2014 | | A ⁽⁴⁾ | | 20,000 | A | \$ <mark>0</mark> | 62,033 | D | |
| Common Stock | 03/26/2014 | | М | | 18,750 | A | \$29.5 | 80,783 | D | |
| Common Stock | 03/26/2014 | | S ⁽²⁾ | | 18,650 | D | \$49.8328 ⁽³⁾ | 62,133 | D | |
| Common Stock | 03/26/2014 | | S | | 100 | D | \$50.34 | 62,033 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| (| | | | | | | | | | | | | | | |
|---|---|--|---|------------------------------|--------------------|--|--|--|------------|--|--------|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deri Sec Acq (A) (Disp of (I | umber vative urities uired or oosed O) (Instr. and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | e and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | | | | | |
| Non- Qualfiied Stock Option (right to buy) | \$29.5 | 03/26/2014 | | м | | | 15,361 | (1) | 03/26/2017 | Common Stock | 15,361 | \$0 | 0 | D | |
| Incentive Stock Option (right to buy) | \$29.5 | 03/26/2014 | | М | | | 3,389 | (1) | 03/26/2017 | Common Stock | 3,389 | \$0 | 0 | D | |

Explanation of Responses:

1. The option vested over a four year period beginning on March 26, 2010, and was fully vested and exercisable as of the Transaction Date.

2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on January 4, 2013.

3. All trades occurred within the following one dollar price range: \$49.33 through \$50.33. The reported price is the weighted average for trades within this range.

4. The Reporting Person is entitled to receive one share of Common Stock for each Restricted Stock Unit. These are Restricted Stock Units that vest over a 3-year period beginning on 3/25/14 at the rate of 6,800 shares on 3/5/2015, 6,600 shares on 3/11/16, and 6,600 shares on 3/10/17.



03/27/2014

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.